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PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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| | | | |
|--|-----------------------------|-------------------------------|--------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/696,163 | |
| | Filing Date | October 29, 2003 | |
| | First Named Inventor | HELLBUSCH | |
| | Group Art Unit | 2157 | |
| | Examiner Name | Not yet assigned | |
| Total Number of Pages in This Submission | | Attorney Docket Number | 0307091.0169 |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration of Mailing by Express Mail <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Make Special Under 37 C.F.R. § 1.102(d) <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply-Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Request for Reconsideration of Petition Under 37 CFR 1.47(a) |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Stephen C. Glazier (Registration No. 31,361) Kirkpatrick & Lockhart LLP |
| Signature | |
| Date | 14 June 04 |

| CERTIFICATE OF MAILING | | | |
|---|---------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 15 JUNE 2004 | | | |
| Typed or printed name | Sadie M. Bone | | |
| Signature | | Date | 15 JUNE 2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 10/696,163 |
| Filing Date | October 29, 2003 |
| First Named Inventor | HELLBUSCH |
| Examiner Name | Not yet assigned |
| Art Unit | 2157 |
| Attorney Docket No. | 0307091.0169 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

50-1721

Deposit
Account
Name

Kirkpatrick & Lockhart LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | | | | | | |
|--------------------|--|--------|---|---|---|--|---|---|
| Total Claims | | -20 ** | = | 0 | X | | = | 0 |
| Independent Claims | | -3 ** | = | 0 | X | | = | 0 |
| Multiple Dependent | | | | | X | | = | 0 |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 130 |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 130

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--------------------|---------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Stephen C. Glazier | Registration No. Attorney/Agent | 31,361 | Telephone | 202 / 778-0945 |
| Signature | | Date | 14 June 04 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



\$ DAC
1fw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HELLBUSCH et al.

Group Art Unit: 2157

Application No.: 10/696,163

Examiner: Not yet assigned

Filed: October 29, 2003

Atty Dkt. No. 0307091.0169

Title: AN ENTERLINK FOR PROVIDING A FEDERATED BUSINESS TO BUSINESS
SYSTEM THAT INTERCONNECTS APPLICATIONS OF MULTIPLE COMPANIES

* * * * *

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR 1.47(a)

Sir:

Applicants request reconsideration of the Petition filed by applicants under 37 CFR 1.47(a) on February 25, 2004, and the ensuing Decision Refusing Status of April 16, 2004.

Attached herewith is a combined declaration and power of attorney for the above-referenced application executed by Harsh Wardhan, previously the only non-signing inventor for the application. The executed declaration includes the residence and mailing address of Harsh Wardhan.

The requirements of the Decision Refusing Status and the Notice to File Missing Parts of Nonprovisional Application of December 22, 2003, a copy of which is attached hereto, are satisfied by this request, including the attached executed declaration and a check for \$130 in payment of the surcharge required for late filing of the declaration.

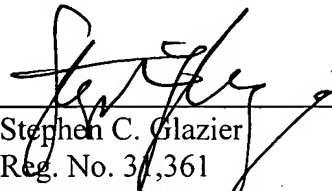
Adjustment date: 06/21/2004 JADD01
06/18/2004 JADD01 00000048 10696163
01 FC:1460 -130.00 OP

06/18/2004 JADD01 00000048 10696163

01 FC:1460 130.00 OP

Applicants respectfully request prompt examination of the present application. Please apply any additional charges or credits to Deposit Account No. 50-1721.

Respectfully submitted,



Stephen C. Glazier
Reg. No. 31,361
(202) 778-9045

Date: _____

14 June 04

Kirkpatrick & Lockhart LLP
1800 Massachusetts Ave., N.W.
2nd Floor
Washington, DC 20036
(202) 778-9000
Fax: (202) 778-9100



PATENT
ATTORNEY DOCKET NO.: 0307091.0169

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled AN ENTERLINK FOR PROVIDING A FEDERATED BUSINESS TO BUSINESS SYSTEM THAT INTERCONNECTS APPLICATIONS OF MULTIPLE COMPANIES, the specification of which

☐ is attached hereto.

☒ was filed on October 29, 2003, as Application Serial No. 10/696,163 and was amended on _____.

☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendments referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

| Prior Foreign Application Numbers | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-----------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| 60/176,625 | 1/19/2000 |
| | |
| | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information we know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| 09/741,008 | 12/21/2000 | |
| 09/761,834 | 1/18/2001 | |

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Practitioners at Customer Numbers: 035602; 022832; and 026285

Address all telephone calls to **Stephen C. Glazier** at telephone number **202.778.9045**.

Address all correspondence to **Practitioners at Customer Number 035602**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Mark H. Hellbusch

Inventor's Signature: _____

Date: _____

Residence: Beavercreek, Ohio

Citizen of: United States of America

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

Post Office Address: 87 Shelford Way, Beavercreek, Ohio 45440

Full Name of Inventor: Bryon K. Propst

Inventor's Signature: _____

Date: _____

Residence Address: Centerville, Ohio

Citizen of: United States of America

Post Office Address: 1404 Muirfield Court, Centerville, Ohio 45459

Full Name of Inventor: Girish U. Balsavar

Inventor's Signature: _____

Date: _____

Residence Address: Centerville, Ohio

Citizen of: United States of America

Post Office Address: 6100 Fireside Dr., Apt. D, Centerville, Ohio 45459

Full Name of Inventor: Robert G. Schaefer

Inventor's Signature: _____

Date: _____

Residence Address: Dayton, Ohio

Citizen of: United States of America

Post Office Address: 2224 Vienna Parkway, Dayton, Ohio 45459

Full Name of Inventor: Harsh Wardhan *Aka Harsh Wardhan Gupta*

Inventor's Signature: *Harsh Gupta*

Date: *6/2/2009*

Residence Address: Bellaire, Texas

Citizen of: United States of America

Post Office Address: 1109 Howard Lane, Bellaire, Texas 77401



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/696,163 | 10/29/2003 | Mark H. Hellbusch | 0307091.0169 |

35602
STEPHEN C. GLAZIER
KIRKPATRICK & LOCKHART LLP
1800 MASSACHUSETTS AVENUE, NW
WASHINGTON, DC 20036



CONFIRMATION NO. 6579

FORMALITIES LETTER



OC000000011552003

Date Mailed: 12/22/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/16/2004 AKELLEY 00000013 10696163
01 FC:1251 110.00 DA

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Harsh Wardhan
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.

Replies should be mailed to: Mail Stop Missing Parts

06/21/2004 JAD001 00000001 10696163

01 563 120 -07

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Selaw Ghidry

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY
